

# GUIDANCE NOTES ON SUBMISSION REQUIREMENTS FOR INITIAL ETHICAL REVIEW

## INTRODUCTION

This guidance note is intended to provide general guidance on the submission requirements and process for initial ethical review for research involving humans at Fraser Health.

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## GUIDANCE NOTE #1: WHERE TO SUBMIT

All new applications for clinical, behavioural, and other research involving human participants must be submitted using the current version of the Integrated Privacy and Initial Ethical Review Application form available on the [Fraser Health Research Ethics Website](#), including those that qualify for delegated review. Complete submissions must be emailed, along with all supporting documentation, to [REB@fraserhealth.ca](mailto:REB@fraserhealth.ca). If the PI and/or Administrative Supervisor cannot sign electronically, a scanned version of the signature page with the appropriate signatures may be submitted with the application.

The Data Access Application (DAA), administered by the Fraser Health Office of Information Privacy, is now integrated into the Fraser Health Research Ethics Board (FHREB) Application for Initial Review. Once the initial application is approved by the REB, the FHREB Office will transfer the study files to the Privacy Office to process the DAA.

### 1.1 Multi-Jurisdictional Studies

The FHREB is a partner organization in [Research Ethics BC](#) (REBC), which aims to streamline the review process for multi-jurisdictional human health research in BC. Researchers applying for ethics review by the FHREB for multi-jurisdictional studies with other REBC partner organizations must submit their ethics application via the [Provincial Research Ethics Platform \(PREP\) on the UBC RISE system](#).

All studies submitted via PREP must apply for and obtain institutional approval from the Fraser Health Department of Evaluation and Research Services prior to commencing.

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## GUIDANCE NOTE #2: NECESSARY DOCUMENTS FOR REVIEW

All submissions for initial ethical review must include the following:

- Application form
- PI's CV
- [TCPS 2 Core Tutorial](#) completion certificates for all study team members
- Study protocol/proposal

- All data collection materials: case report forms, interview guides, questionnaires, tests, patient diaries, etc.
- All consent/assent forms (as applicable)
- All recruitment materials (as applicable): letters of initial contact, brochures, posters, advertisements, recruitment scripts, etc.
- Notification of Award Letters for Fraser Health PIs awarded grants to conduct the study
- Any other documents that have an impact on the safety or ethical conduct of the study should be submitted for review, such as patient instruction pamphlets, etc.

Documents that do not have bearing on the safety or ethical conduct of the study and are not related to the collection of data and/or participant-facing, such as SOPs for case report form completion and master tracking logs, should not be submitted. Such documents will not be reviewed by the REB or listed on the approval certificate.

## **2.1 Additional Documents for Regulated Clinical Trials**

- Health Canada No Objection Letter/Letter of Authorization/Notice of Authorization
- Investigator’s Brochure/Product Monograph (as applicable)
- Wallet Cards (as applicable)

Generic trial advertisements for industry sponsored trials that are not used for recruitment at the Fraser Health site should not be submitted, unless accompanied by an explanation of how they will be used for recruitment at the Fraser Health site.

## **2.2 Qualitative and/or Studies with Emergent Design**

Researchers should submit the draft interview/focus group guides and data collection tools for review, along with specification of the plan for finalization/amendment over the course of the study. If the data collection tools alter substantially over the course of the study, the amended tools should be submitted to the REB for amendment approval.

For studies with emergent design, each proposed phase of the study should be described to the extent possible in the protocol, including the data collection methods. If draft data collection tools are not available, specify that they will be submitted for amendment once available.

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### **GUIDANCE NOTE #3: DOCUMENT IDENTIFICATION REQUIREMENTS**

All documents submitted to the REB for review should be clearly labelled with the date and version number. E.g., “YYYY-MM-DD Document Title Version #”. The document footers should also include the corresponding version number and date.