	RESEARCH ETHICS BOARD STANDARD OPERATING PROCEDURES			
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	SOP Number		101	
	Authority and Purpose			
	Date of Issue		2022 07 13	
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**Purpose:** The purpose of this standard operating procedure (SOP) is to:

- 1. State the organizational authority under which the Research Ethics Board (REB) is established and empowered;
- 2. Define the purpose of the REB;
- 3. State the principles governing the REB to assure that the rights and welfare of participants are protected;
- 4. State the authority of the REB.

**Directive:** Fraser Health Policy "The Ethical Conduct of Research and Other Studies Involving Human Participants"

**References:** The Tri-Council Policy Statement: Ethical Conduct for Research Involving Humans, Health Canada Food and Drug Regulations, Part C, Division 5, Drugs for Clinical Trials Involving Human Subjects, Declaration of Helsinki: Ethical Principles for Medical Research Involving Human Subjects

### Responsibility:

The VP responsible for Research, REB members and REB Office Personnel are responsible for ensuring that the requirements of this SOP are met.

### **Procedure**

The REB will maintain and follow all written policies and procedures consistent with federal and provincial regulations, good clinical practice and ethics guidelines when reviewing proposed research.

#### 1.0 Statement of Organizational Authority

The organization has authorized the Fraser Health REB to review research involving human participants conducted under the auspices of the organization.

The Fraser Health REB is established and empowered under the authority of the VP responsible for Research. The organization requires that all research involving human participants be reviewed and approved by the REB prior to initiation of any research related activities.

### 2.0 Purpose of the REB

- 2.1 The REB's purpose is to protect the rights and welfare of human participants participating in research;
- 2.2 The REB reviews and oversees the research to ensure that it meets ethical principles and that it complies with all applicable regulations and guidelines pertaining to human participant protection;
- 2.3 These include, but are not limited to, the Food and Drugs Act and applicable Regulations, the International Council on Harmonization Good Clinical Practice Guidelines, the Declaration of Helsinki: Ethical Principles for Medical Research Involving Human Subjects, the Tri-Council Policy Statement: Ethical Conduct for Research Involving Humans, and where applicable, US Federal Regulations.

## 3.0 Governing Principles

- 3.1 The REB is guided by the ethical principles regarding all research involving human participants, including:
  - Respect for Persons:
    - Recognize the intrinsic value of human beings and the respect and consideration they are due,
    - Incorporate moral obligations to respect autonomy and to protect those with developing, impaired or diminished autonomy;
  - Concern for Welfare:
    - Aim to protect the welfare of participants, and, in some circumstance, to promote that welfare in view of any foreseeable risks,
    - Provide participants with enough information to be able to adequately assess risks and potential benefits associated with their participation,
    - Ensure that participants are not exposed to unnecessary risks;
  - Justice:
    - Obligation to treat people fairly with equal respect and concern,
    - Vulnerable or marginalized people may need to be afforded special attention.

### 4.0 REB Authority

- 4.1 The REB is established to review all research involving human participants within its established jurisdiction;
- 4.2 The REB has the authority to ensure that all research conducted under its oversight is designed and conducted in such a manner that it protects the rights, welfare, and privacy of research participants.

Specifically, the REB has the authority to:

- Establish the ethics review processes, and provide research ethics oversight to ensure the ethical conduct of the research,
- Approve, require modifications to, or disapprove, any research activity that falls within its jurisdiction,
- Ensure that the researcher has policies and procedures to protect the rights, safety and welfare of research participants,
- Request, receive and share any information involving the research that the REB considers necessary to fulfill its mandate, while maintaining confidentiality and respecting privacy,

- Conduct continuing ethical review to protect the rights and welfare and privacy or research participants,
- Suspend or terminate the ethics approval for the research,
- Place restrictions on the research,
- Take any actions considered reasonably necessary, and consistent with policies and procedures, to ensure the protection of the rights, safety, and well-being of participants in research conducted under the REB's jurisdiction.

# 5.0 Research Subject to US Regulations

The REB shall apply the requirements of the applicable U.S. regulations to the extent that they vary from the protections set out in the applicable Canadian regulations and guidelines.